

## **Plankton Production Biology**

Karl Banse  
University of Washington  
School of Oceanography  
Box 357940  
Seattle, WA 98195-7940  
phone: (206) 543-5079 fax: (206) 543-6073 email: [banse@ocean.washington.edu](mailto:banse@ocean.washington.edu)

Award Number: N00014-10-1-0044  
<http://www.ocean.washington.edu>

### **LONG-TERM GOALS**

Using existing data I continue to investigate hydrography and nutrients in respect to plankton production in the central and eastern Arabian Sea, on the shelf off India's west coast, as well as in the central Bay of Bengal outside of the EEZs of India and Sri Lanka. Occasionally I comment on general oceanographic or ecological principles.

### **OBJECTIVES**

The principal objectives during FY2012 were comments on the roles of top-down processes for the concentrations, the size composition of phyto-and zooplankton, and the rates of change, and a sketch of a proposal for a nutrient budget for the central Bay of Bengal.

### **APPROACH**

Largely, cost-efficient mining of old and new oceanographic data is used, while keeping old (pre-1980) literature in mind. My personal sustenance for some 15 years has been provided by TIAA-CREF and Social Security, whereas the research expenses are borne by the ONR grant.

### **WORK COMPLETED**

#### ***(1) Major overview of the roles of top-down processes in the pelagic domain***

A principal goal of biological oceanography of the pelagic domain is to understand the observed distributions of organisms in respect to What, When, Where, and Why. Leaving advection and eddy diffusion aside, the processes comprise growth and reproduction and the rates of change, which for phytoplankton are driven by light, nutrients, and temperature, and for animals by food (so-called bottom-up control). The color satellites show that from day to day chlorophyll changes very little with time in most of the open sea, although the cell division rates tend to about one doubling a day, while blooms are the exceptions. The biomass and species composition and the rates of change depend often or largely, on grazing and predation even in a developing phytoplankton bloom (top-down regulation).

The rate of change of phytoplankton concentration is far smaller than that inferred from the rates of carbon  $^{14}\text{C}$  uptake or cell-division, or estimated from remotely determined chlorophyll, or calculated

Report Documentation Page				Form Approved OMB No. 0704-0188	
Public reporting burden for the collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to a penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.					
1. REPORT DATE <b>2012</b>		2. REPORT TYPE <b>N/A</b>		3. DATES COVERED <b>-</b>	
4. TITLE AND SUBTITLE <b>Plankton Production Biology</b>				5a. CONTRACT NUMBER	
				5b. GRANT NUMBER	
				5c. PROGRAM ELEMENT NUMBER	
6. AUTHOR(S)				5d. PROJECT NUMBER	
				5e. TASK NUMBER	
				5f. WORK UNIT NUMBER	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) <b>University of Washington School of Oceanography Box 357940 Seattle, WA 98195-7940</b>				8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)				10. SPONSOR/MONITOR'S ACRONYM(S)	
				11. SPONSOR/MONITOR'S REPORT NUMBER(S)	
12. DISTRIBUTION/AVAILABILITY STATEMENT <b>Approved for public release, distribution unlimited</b>					
13. SUPPLEMENTARY NOTES					
14. ABSTRACT					
15. SUBJECT TERMS					
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT <b>SAR</b>	18. NUMBER OF PAGES <b>7</b>	19a. NAME OF RESPONSIBLE PERSON
a. REPORT <b>unclassified</b>	b. ABSTRACT <b>unclassified</b>	c. THIS PAGE <b>unclassified</b>			

by global ecosystem models. The rate of concentration change may not even have the same sign as the two former rates (Fig. 1) because of the top-down effects of grazing and predation mortality. Mortality, however, is very difficult to estimate accurately, leave alone to measure. The zooplankton rate processes are the big challenge.

In the second half of my review (Banse, 2013) I have once again noted the lack of recognition of the top-down regulation in marine biogeochemical work, as holds also for terrestrial ecology (cf. Banse, 2007). Overall, the review presents skeptical, if not pessimistic opinions of the state of the field (see Results, below).

***(2) The central Bay of Bengal as an estuary—why is there next to no surface nitrate in spite of much entrainment from below?***

As a whole the Bay of Bengal, to the east of the Indian Peninsula, functions as an estuary driven by the large sum of river runoff plus precipitation minus evaporation. Low-salinity water with next to no nitrate (32.5 to 34.5 psu, 0.1 up to perhaps 0.3  $\mu\text{M NO}_3^-$ ) leaves at the surface, while high-salinity, high-nitrate water (35.0 psu, 30–40  $\mu\text{M NO}_3^-$ ) enters at depth and is entrained into the upper layers. The nutrient contributions by rivers and the atmosphere appear to be relatively small (e.g., Rao et al., 1994; Sanjeev Kumar et al., 2004), and so the settling POC is principally of marine origin (Unger et al., 2005). Nitrogen is the principal nutrient element limiting primary production and, hence, affecting the flux of POC into the deeper layers.

As a numerical example for the huge entrainment, the upper-layer average salinity of 32.5 is maintained by mixing of one volume of freshwater with 13 volumes of 35.0 psu water (32.5 divided by [35.0 – 32.5 = 2.5]). This will transport about 27–37  $\mu\text{M NO}_3^-$  from depth into each liter of the surface layer. The “dilution ratio” is even larger than 13 when considering a seasonal surface salinity of, say, 33.5. Yet, year-round we observe near-zero  $\text{NO}_3^-$  above the pycnocline except sometimes during winter in the north and in the cyclonic eddies fairly common in the west when they penetrate into the mixed layer. The levels of  $^{14}\text{C}$  uptake by phytoplankton and of chlorophyll in the central Bay are significantly lower than in the offshore Arabian Sea (Prasanna Kumar et al., 2010), which fact is largely attributed to the different atmosphere-ocean interactions and the resulting stratification. Yet, years of deployment of particle interceptor traps at around 1 km depth yielded about the same, quite high fluxes of POC in both central basins (Unger et al., 2003, Stoll et al., 2007).

Regardless of the mechanism transporting the  $\text{NO}_3^-$  upward, only the salt remains above the pycnocline. What happens to the entrained  $\text{NO}_3^-$ ? Aside from the transitory blooms caused by eddies and their disposition, is the principal sink the Deep Chlorophyll Maximum (DCM) in the pycnocline, which traps the  $\text{NO}_3^-$  moving upward in the entrained water (cf. Fig. 2)? Does the DCM thus cause the unusually average high  $f$ -ratio and export ratio of particulate organic matter of  $\sim 0.5$ , as well as the Bay to be a  $\text{CO}_2$  sink? In principle, non-transient DCMs are maintained by the difference between the rates of phytoplankton cell division and losses and can thus be studied anywhere. In the Bay, however, the vertical flux of  $\text{NO}_3^-$  can be determined via the salinity rather than from the necessarily imprecise physical estimates of eddy diffusion and vertical advection. Moreover, the  $\text{NO}_3^-$  concentrations at depth are much higher than in most other seas and should yield high flux signals.

To answer the questions, a large integrated research program might be considered, which attempts a nitrogen budget of the central Bay of Bengal as an estuary. The central region, between about 8° and 15°N and 85° and 88°E, is outside the Exclusive Economic Zones (EEZs) of India and Sri Lanka and thus not affected by the rules governing research inside of them. If at first only the DCM were to be

studied, the essence would be to compare the upward flux of  $\text{NO}_3^-$  through the pycnocline, as determined from the salt balance, with the measured  $\text{NO}_3^-$  uptake in the DCM over suitable time scales. To motivate the addressing of the issue(s) I have sent 11 single-spaced pages, plus many figures, of a revised Memo to the Scientific Steering Committee of the IMBER-approved SIBER [Sustained Indian Ocean Biogeochemistry and Ecosystem Research]), to a few other organizations around the Bay of Bengal, and to several individuals, inviting them to pursue these ideas. The Memo also summarizes needed measurements.

Note that a pronounced oxygen minimum zone is present in the lower mesopelagic zone of the Bay, which is almost as deprived of  $\text{O}_2$  as the one in the central Arabian Sea. The maintenance of the two minima is of global biogeochemical importance because of denitrification (not yet occurring in the Bay), but the balance of advection and consumption of  $\text{O}_2$  is not understood quantitatively. First in my view, rigorous water (salt) budgets are required in both basins.

## RESULTS

The principal conclusion in my forthcoming review (Banse, 2013) is a pessimistic judgment on major aspects of the current mechanistic understanding of the dynamics of the euphotic zone and the flux of particulate organic carbon (POC) into the mesopelagic (“twilight”) domain below. On p. 13 I state, “The inability to determine phytoplankton mortality—and, beyond that, the inability to ‘see’ the zooplankton remotely—is the fundamental handicap of satellite-based estimates of the dynamics of primary production”. Moreover, at present we have little hope for predicting accurately from environmental data for particular situations what percentage of phytoplankton production will not leave the euphotic zone because of being re-mineralized within it. For estimating vertical POC flux with an accuracy useful for mesopelagic carbon budgets (by implication, of C flux to greater depth), I state (p. 15), “An [in situ-based] prediction for organic flux based on, say, 80%–90% of phytoplankton net production is remineralized in the epipelagic layer” would for the mesopelagic denizens mean 20% or 10% of net production being made available. This is a twofold range of supply of organic matter! A range of 85%–95% would translate into a threefold range. I fear that neither of the two predictions with such narrow confidence limits is presently attainable except perhaps for single, well-investigated stations. How are the students of the twilight zone and the deep sea at large to live with that degree of uncertainty?

## TRANSITIONS

### *(1) The central Bay of Bengal as an estuary*

The Memo under item (2) of the first section suggests a major integrated attempt for a nitrogen budget in the central Bay of Bengal, outside the EEZs of India and Sri Lanka. Note that the principal processes around the Deep Chlorophyll Maximum (DCM) apply to all non-transient DCMs including those forming in summer in large temperate lakes, but that of the Bay is predestined for a profound investigation as indicated under item (2), except that it is not as easily accessed as that of a lake.

### *(2) Printing of a translated Russian monograph on copepod larvae (nauplii)*

To help in opening windows to the little-known Russian-language oceanographic literature, ONR in some of my earlier grants had supported translations of five monographs and the commission of a new book in English about the last integrated Ukrainian expedition to the northern Arabian Sea in 1990. The financial and logistic problems of editing and printing led to very long delays, as reported previously. Together with Senior Lecturer Dr. Andrew G. Hirst of the Queen Mary University in

London, during FY2011 the editing of a fourth monograph, by Sazhina (1985), was largely completed. Currently we are preparing the book for printing by the Indian Academy of Sciences in Bangalore and India's National Institute of Oceanography in Dona Paula, Goa.

The monograph provides illustrated keys for identifying the six stages of the nauplii (copepod larvae) of 85 common species from the Atlantic with its adjacent seas, the eastern tropical Pacific, and the warm parts of the Indian Ocean. It is the first and after 27 years still by far the most comprehensive key of its kind but largely unknown outside the Russian-speaking world. To our knowledge there are two copies of the original version in the U.S. library system. Our recent inquiry via the mailbox of OCB (Ocean Carbon and Biogeochemistry, an international program dominated by physical and chemical oceanographers) at Woods Hole Oceanographic Institution, MA, about the interest in a free distribution elicited 91 requests in three weeks, almost entirely by individuals rather than libraries.

## **IMPACTS and IMPLICATIONS**

1. Much of my review (Banse, 2013) once again bemoans the prevailing neglect of the top-down processes in foodwebs—all species are growing, but few researchers and models provide for the all-present death. The drastic differences in phytoplankton biomass, net production, and net community production in a global model using 78 phytoplankton types and contrasting high vs. low maximal specific grazing rates combined with or without food preferences (switching) are illustrated by Prowse et al. (2012: figs. 5-7).
2. The memo about the Bay of Bengal addresses scientists of the countries bordering the Bay, as well as American and European colleagues. Open-sea work in the Arabian Sea, including research about the processes in the O<sub>2</sub> minimum zone, is practically at a stand-still because of the Somali pirates and the resulting high insurance premiums for ships. It is to be seen whether my message about the Bay will be picked up.
3. The translation and distribution of Sazhina's keys will permit the study of stage-specific population dynamics (growth rate, production, mortality) of copepod larvae (nauplii) in mixed populations in the field or captured water columns (mesocosms). Since biology proceeds through species rather than carbon and chlorophyll, the work, once available, will be invaluable.

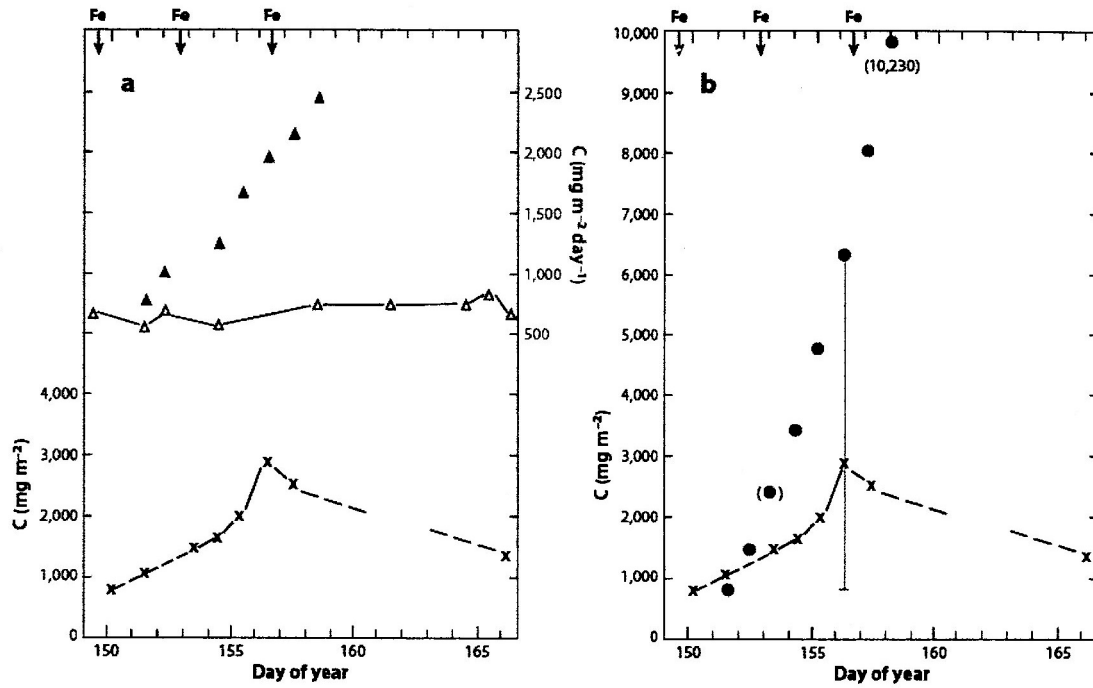
## **REFERENCES**

- Banse, K. (2007) Do we live in largely top-down regulated world? *J. Biosci.*, 23, 791-796
- Banse, K. (2013) Reflections about chance in my career, and on the top-down regulated world. *Annu. Rev. Mar. Sci.*, 5, 1-19, doi:10.1146/annurev-marine-121211-172359
- Jamart, B.M., D.F. Winter, K. Banse, G.C. Anderson, and R.K. Lam (1977) A theoretical study of phytoplankton growth and nutrient distribution in the Pacific Ocean off the northwestern U.S. coast, *Deep-Sea Res.*, 24, 753-771
- Prasanna Kumar, S., M. Nuncio, J. Narvekar, N. Ramaiah, S. Sardesai, M. Gauns, V. Fernandes, J.T. Paul, R. Jyothibabu, and K.A. Jayaraj (2010) Seasonal cycle of physical forcing and biological response in the Bay of Bengal, *Indian J. Mar. Sci.*, 39, 388-405

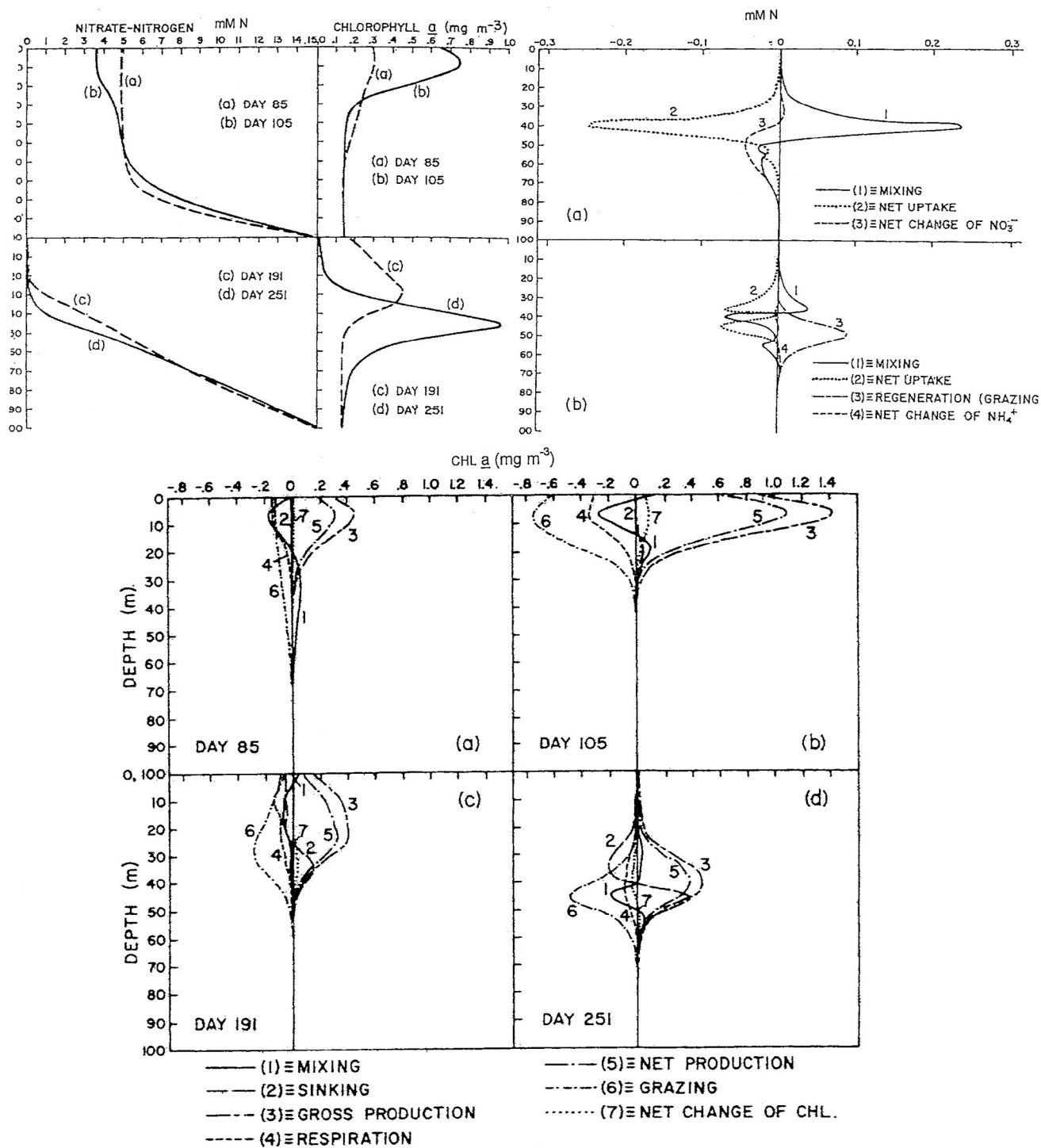
- Prowe, A.E.F., M. Pahlow, S. Dutkiewicz, M. Follows, and A. Oschlies (2012) Top-down control of marine phytoplankton diversity in a global ecosystem model. *Prog. Oceanogr.* 101, 1-13.  
doi:10.1016/j.pocean.2011.11.016
- Rao, C.K., S.W.A. Naqvi, M.D. Kumar, S.J.D. Varaprasad, D.A. Jayakumar, M.D. George, and S.Y.S. Singbal (1994) Hydrochemistry of the Bay of Bengal: possible reasons for a different water-column cycling of carbon and nitrogen from the Arabian Sea, *Mar. Chem.*, 47, 279-290
- Sanjeev Kumar, R. Ramesh, S. Sardesai, and M.S. Sheshshayee (2004) High new production in the Bay of Bengal: possible causes and implications, *Geophys. Res. Lett.*, 31, L18304,  
doi:10.1029/2004GL021005
- Sazhina, L.I. (1985) *Keys to the Nauplii of 85 Common Marine Pelagic Copepods* [new English title] Kiev: Naukova Dumka, 238 pp. and 98 plates with 5-15 detailed figures each (Translation from the Russian, edited by K. Banse and A.G. Hirst, forthcoming)
- Stoll, H.M., A. Arevalos, A. Burke, P. Ziveri, G. Mortyn, N. Shimizu, and D. Unger (2007) Seasonal cycles in biogenic production and export in Northern Bay of Bengal sediment traps, *Deep-Sea Res. II*, 54, 558-580
- Unger, D., V. Ittekkot, P. Schäfer, J. Tiemann, and S. Reschke (2003) Seasonality and interannual variability of particle fluxes to the deep Bay of Bengal: influence of riverine input and oceanographic processes, *Deep-Sea Res. II*, 50, 897-923
- Unger, D., V. Ittekkot, P. Schäfer, J. Tiemann (2005) Biogeochemistry of particulate organic matter from the Bay of Bengal as discernible from hydrolysable neutral carbohydrates and amino acids, *Mar. Chem.*, 96, 155-184

## PUBLICATIONS

- Banse, K. (2013) Reflections about chance in my career, and on the top-down regulated world. *Annu. Rev. Mar. Sci.*, 5, 1-19 (refereed), doi:10.1146/annurev-marine-121211-172359



**Fig. 1. Photosynthetic carbon uptake and grazing losses during the iron fertilization experiment IronEx II west of the Galapagos Island, integrated for the euphotic zone and corrected for physical dilution of the fertilized patch. Days on bottom for 1995; arrows on top, dates of iron additions. (a) Upper part, simulated in situ  $^{14}\text{C}$  uptake outside and inside the patch (open and filled triangles, respectively). Lower part, carbon concentrations, with concentrations for days 150–154 estimated from 15-m values. (b) Cumulative carbon uptake, corrected for 13% daily losses from mixing, and carbon concentration (from [a]); vertical bar indicates the uptake since day 151, principally lost by the measured grazing; parentheses signify an uncertain value. Adapted from Banse (2013).**



**Fig. 2.** Four dates in a seasonal phytoplankton production model of a cool-temperate ocean with depth dependence of nitrate and chlorophyll; the seasonal change to vertical column stability occurred on Day 96 (upper left); terms of the chlorophyll equation integrated over 48 h, with the grazing rate [6] being the difference between the rates of net production [5] and net change of chlorophyll [7] (bottom); and the 48-h balance from the NO<sub>3</sub><sup>-</sup> and NH<sub>4</sub><sup>+</sup> equations for day 251 (upper right) (from Jamart et al., 1977).